



Superior Electric

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Anti-Corruption / FCPA Screening Information

Superior Electric Holding Group LLC, a Fortive company, takes its role as a corporate citizen very seriously. As such, Fortive's anti-bribery and anti-corruption policy requires that all Customers or Business Partners be screened as per the questions below. We appreciate your cooperation answering these questions to the best of your ability.

Entity Information

<p>Company Name: _____</p> <p>Country of Origin/Organization: _____</p> <p>Headquarters/Location: _____</p> <p>Phone/Fax: _____</p> <p>Company Registration No./Federal Tax Id No.: _____</p> <p>Countries Licensed to do Business: _____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>Directors:</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>Highest Level (Executive) Officers:</p> <p>CEO/President/Managing Director: _____</p> <p>CFO/Financial Manager: _____</p> <p>General Counsel: _____</p> <p>Others: _____</p> <p>_____</p> <p>_____</p>	<p>Legal Structure: [check appropriate box & identify principle owners]</p> <p><input type="checkbox"/> Corporation, Public</p> <p><input type="checkbox"/> Corporation, Private:</p> <p>Majority Owner: _____ % _____</p> <p>Majority Owner: _____ % _____</p> <p>Majority Owner: _____ % _____</p> <p><input type="checkbox"/> Sole Proprietor: _____</p> <p><input type="checkbox"/> Joint Venture: JVs: _____</p> <p>_____</p> <p><input type="checkbox"/> Partnership:</p> <p>Partner: _____ % _____</p> <p>Partner: _____ % _____</p> <p>Partner: _____ % _____</p> <p><input type="checkbox"/> Other: _____</p> <p>_____</p> <p>Country of Nationality:</p> <p>_____</p> <p>_____</p> <p>_____</p>
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Background Information

Do you plan to use sub-agents or distributors for the sale of Superior Electric products?

- No
- Yes

Name: _____	Location: _____
Name: _____	Location: _____
Name: _____	Location: _____

Do you intend to market Superior Electric products to a government, government agency, and government owned business or similar public authority?

No

Yes:

Please identify the government entities:

Please CONFIRM the following statements. Provide a detailed explanation for any statement you mark as "False" on a separate sheet of paper. Identify your explanation by using the corresponding statement number below. (Where appropriate provide names, positions, countries, locations and dates in your explanation.)		
	True	False
1. Company has not filed for bankruptcy within the last 10 years.	<input type="checkbox"/>	<input type="checkbox"/>
2. Company has not had a tax lien or judgment filed by a government or public authority in the last 5 years.	<input type="checkbox"/>	<input type="checkbox"/>
3. Company has not been subject to any past, pending or threatened investigation, sanction or litigation by a government or public authority.	<input type="checkbox"/>	<input type="checkbox"/>
4. Neither Company nor any of its directors, officers, or senior management have been investigated or received sanctions in the last 5 years from a government or public authority.	<input type="checkbox"/>	<input type="checkbox"/>
5. Neither Company nor any of its directors, officers or senior managers has been convicted of a felony or serious crime.	<input type="checkbox"/>	<input type="checkbox"/>
6. Company has not had any company, service, or similar agreement cancelled, or a renewal denied within the last 5 years.	<input type="checkbox"/>	<input type="checkbox"/>
7. Neither Company or any of its owners, directors, officers or senior managers has been denied or suspended export import privileges, or has had such privileges revoked.	<input type="checkbox"/>	<input type="checkbox"/>
8. Neither Company or any of its owners, directors, officers or senior managers has been charged, convicted, fined or sentenced under any law in any jurisdiction (expressly included, but not limited to, laws on exports, imports, taxes, duties, bribery, kickbacks, antitrust & competition).	<input type="checkbox"/>	<input type="checkbox"/>
9. Neither Company nor any of its owners, directors, officers or senior managers has been listed on a denied parties or watch list published or issued by any government or public authority responsible for export or import compliance or enforcement.	<input type="checkbox"/>	<input type="checkbox"/>
10. Company does not contribute to a political party.	<input type="checkbox"/>	<input type="checkbox"/>
11. Neither the Company nor any of its owners, directors, officers or senior managers serves (or has served) in a government position or capacity, political party or campaign now or within the last 5 years.	<input type="checkbox"/>	<input type="checkbox"/>
12. None of the Company's owners, directors, officers or senior managers has an immediate family member or close relation that serves in a government position or capacity now, or within the last 5 years.	<input type="checkbox"/>	<input type="checkbox"/>
13. None of the Company's directors, owners or senior managers is employed for services by any other organization except this Company.	<input type="checkbox"/>	<input type="checkbox"/>
14. None of the Company's directors, owners or senior managers holds a position that would allow influence over policy, procurement or sales in any Superior Electric customer organization.	<input type="checkbox"/>	<input type="checkbox"/>
15. To the Company's knowledge, no Superior Electric organization is affiliated with, controlled by, or holds an ownership interest in the Company.	<input type="checkbox"/>	<input type="checkbox"/>

By signing below, I am authorized to bind _____ [Company], located at _____, and hereby certify that, in relation to its business relationship with Superior Electric Holding Group LLC, Company does, and will comply with all applicable laws, rules, and regulations of _____ (Country of Company) concerning anti-corruption and anti-bribery laws as well as the anti-corruption laws of the United States including, but not limited to, the U.S. Foreign Corrupt Practices Act of 1977, as amended (FCPA).

By signing below, I represent and warrant that I am authorized to bind Company.

BY SIGNING BELOW, I CERTIFY THAT COMPANY WILL COMPLY WITH ALL APPLICABLE LAWS, INCLUDING BUT NOT LIMITED TO, THE FCPA.

Signature: _____ Date: _____

Print Name: _____ Title: _____